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History for:

SLC00018 - Stuart Lennard Caplan

Controlled functions - Previous

Controlled functions	Firm name	Start date	End date
CF21 Investment Adviser	St. James's Place Wealth Management Plc	01/12/2001	31/10/2007
CF21 Investment Adviser	St. James's Place International Plc	01/12/2001	05/05/2004
CF21 Investment Adviser	St. James's Place UK plc	01/12/2001	03/06/2003
CF21 Investment Adviser	St. James's Place Unit Trust Group Limited	01/12/2001	03/06/2003
CF30 Customer	St. James's Place Wealth Management Plc	01/11/2007	05/02/2009

Appointed rep. relationships - Previous:

Firm reference number	Firm name	Start date	End date
401784	Susan Roffman	01/12/2001	05/02/2009